

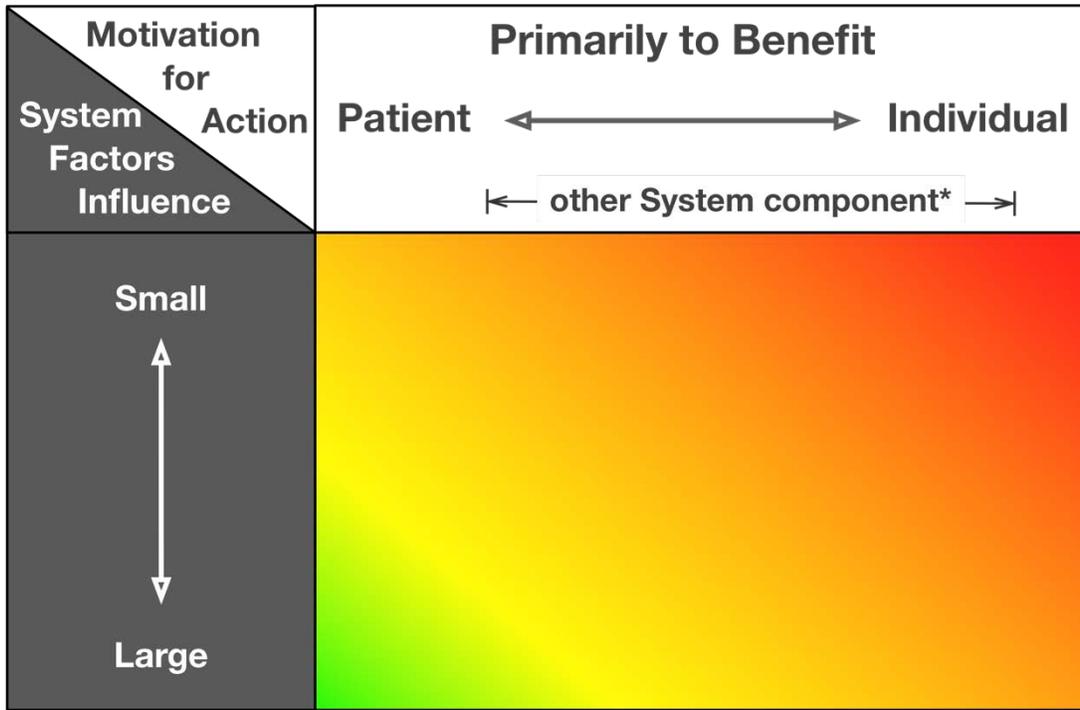
### Noncompliance Assessment Matrix

Based on the information that has been collected so far from chart review and from interviews determine:

- a) The degree that system factors influenced the individual who is being assessed. Review the information that has been recorded in the **System Factors Table** and reflect on how this could have affected choices that the individual made to act or not to act.
- b) The motivation that the individual appeared to have for the action(s) taken or for deciding not to act. The **Motivation for Benefit Questions** helps an assessor with this estimation.

Plot the point on the matrix for the individual’s action or inaction. This will help an assessor with the determination of whether the action or inaction was tolerable or not. Prior to making this determination an assessor should also check their biases using the **Bias Awareness Guide**.

**Figure. Noncompliance Assessment Matrix**



\* other System component: other personnel, environment / equipment, organization

**Color legend**

- Green - discipline should not be considered
- Yellow – discipline should probably not be considered
- Orange – discipline may need to be considered depending on other information and its interpretation
- Red – discipline should very likely be considered